

Annexure 4

POLICY FOR PROMOTION OF RESEARCH (2020)

1. Preamble

NIIT University (NU) has promulgated a policy to promote scientific, industrial and engineering research and development. This Research Promotion Policy is designed with an objective of setting the benchmark standards for the quality of research carried out at NU. In line with the Vision, Mission and Core Principles adopted by NU, this policy is designed to enhance research activities and innovation capabilities at the University. These objectives can only be achieved with synergic efforts by the faculty members considering all the aspects of research initiatives. This Research Promotion Policy aims to develop a sustainable environment for enhancing the capacity building in the areas of research and innovation, which is aligned with the vision of NU "to be the role model of learning, research, innovation and sustainability, for the knowledge society".

2. Objectives

The core objectives of this policy are as follows:

- a. To promote scientific research work at UG, PG and PhD. Levels.
- b. To strengthen the opportunities for faculty members to carry out interdisciplinary research.
- c. To establish the practice of the collaborative research between NU and other Universities.
- d. To nurture industry-oriented research.
- e. To generate IP by way of patents and scientific publications.

3. Promotion of Research

The researchers are free to choose the subject of their research, to seek support from any funding source for their research work, and to report their findings and conclusions. However, research shall be available for scrutiny to the University and constructive criticism of peers. In affirmative, the research techniques used by the academia shall not violate:

- 3.1. The established professional ethics, pertaining to the health, safety, privacy, and other personal rights of human beings.
- 3.2. Or, lead to infliction of injury or pain on animals.

Although the researchers have the freedom to choose their own topics of research, it is highly desirable to make research socially and economically relevant. University expects



the different Areas to remain focussed in their efforts by deciding their select thrust fields commensurate to the Vision and Mission of NU. The University shall encourage multidisciplinary collaborative research. The University shall create conducive environment for research with available facilities and resources, allocate the space, partial funding, and infrastructural resources for research programmes based on the scholarly and educational merits of the proposed research. It shall also provide development opportunities to the researchers for research proposals and reports, publications, patent filing, etc. Incorporating these attributes, following sections are the details of incentives/financial assistance/ rewards provided by NU to promote research activities.

4. Ph.D. Programme

The university offers the PhD programme in all the academic Areas. The university has fellowship schemes to the qualified students as per the NU PhD Programme rules and regulations. The university supports PhD students with contingency, travel grant to attend national and international conferences. The details of PhD. Programme are available under NU Rules and Regulations: PhD. Programme.

5. NIIT University Ignition Grant (NUIG)

From the very inception, NU has encouraged faculty members to conduct research. Thus, NUIG scheme was developed for providing financial assistance to the regular faculty members of the university for conducting interdisciplinary research. According to this scheme, a faculty member prepares Research Proposal, which is submitted to the concerned Area Director at the first level. Based on the recommendations from the concerned Area Director, the research proposal is forwarded to the Research Committee (Chaired by Dean Research, incorporating three other members. 1) Area Director, 2) One faculty from the same area, 3) One faculty from another area). Concerned Faculty must present the proposed research proposal before Research Committee. Based on the recommendation of the Research committee after due approval of the President, NU gives financial assistance to the faculty for duration up to two years. To have the periodical assessment for all such research projects, it is also mandatory to send a yearly progress report to the Office Dean Research. As the outcome of the project, it is expected that faculty should publish high quality research papers in reputed journals. The university shall continue to encourage faculty members to submit and work on the research projects and shall earmark appropriate budget for this purpose.

6. Publication Reward

Publication of papers is complimentary to the effectiveness of the university education. NU encourages the publication of papers by the faculty and collaborative research within and outside the university. In order to strengthen and promote research publication quality,

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University provides cash rewards to the regular faculty members according to the following scheme:

Sl. Nos.	Journals/Conference Papers	Reward Amount (in Rs.)**
1	i) Research papers in Q1 SCI and equivalent standard of SSCI and SCIE Journals ii) Research papers and case studies in A* (ABDC) journal	60,000/-
2	i) Research papers in Q2 SCI and equivalent standard of SSCI and SCIE Journals ii) Research papers and case studies in A (ABDC) journal	50,000/-
3	i) Research papers in Q3 SCI and equivalent standard of SSCI and SCIE Journals ii) Research papers and case studies in B (ABDC) journal	40,000/-
4	i) Research papers in Q4 SCI and equivalent standard of SSCI and SCIE Journals ii) Research papers and case studies in C (ABDC) journal	25,000/-
5	Book Publication	40,000/-
6	ESCI Journals	25,000/-
7	Scopus Journals	25,000/-
8	UGC (CARE) approved Journals	20,000/-
9	Book Chapter Published	15,000/-

** Subject to fulfilling all the following terms and conditions. The reward amount is for each publication.

Terms & Conditions

1. Publication reward maximum amount of Rs. 2,00,000/- (two lakhs). In case of any publication type is indexed in multiple scientific platforms, highest indexing will be counted for the reward calculation.
2. Book Publication and Book chapter publication reward will only be granted for the publications published in international/highly reputed publication house in edited volumes having ISBN indexing.



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3. In case the publication is in joint names/ authorship, the incentive/reward amount shall be equally distributed among the authors. In case any faculty member left the University, then his/her contribution will not be shared by other beneficiaries.
4. The incentive/reward applies to members of faculty who publish while remaining on pay rolls of the university till the final working day of the respective academic year.
5. In order to receive the publication rewards, all/any of above publication types must have affiliation with NIIT University.

7. Conference Participation Policy: International and National Conference

Since the advent of civilization, academia has always been considered as the fountainhead of knowledge. Employees are therefore encouraged to participate in domestic & International Conferences for updating themselves with the latest developments in their areas as well as to present their work to a wider community as well as for peer review. Participation in Conferences will be subject to the following conditions:

7.1 International

- a. Full Paper acceptance or invited lecture or chairing a session is mandatory.
- b. The following criteria would apply for the approval of the request for travel.

Record of research grant and publication in the preceding three years in Citation Index journals, Preference to employees who have not attended international conference in the preceding two years, Preference to oral presentation, Subject to availability of Professional Leave, should not be serving the notice period when applying for conference participation.

- c. The approval would be on first cum first serve basis subject to the availability of travel grants.
- d. The university will sponsor participation in one international conference every year. The sponsorship would cover the registration fee, travel (air fare & local), per diem allowance and visa fee. The duration would be the duration of the conference along with one day before the start and one day after the end of the conference subject to a maximum of six days

7.2 National

- a. Full Paper acceptance and presentation are mandatory.
- b. The following criteria would apply for the approval of the request for travel

Preference to employees who have not attended national conference in the preceding year, preference would be given to oral presentation, Subject to availability of Professional Leave, should not be serving the notice period when applying for conference participation.

- c. The approval would be on first cum first serve basis subject to the availability of travel grants.
- d. The university will sponsor participation in two national conferences every year. The sponsorship would cover the registration fee, travel (air fare, if applicable & local), per diem allowance. The duration would be the duration of the conference along with one more day subject to a maximum of four days.
- e. The application along with the acceptance of the paper from the organizers of Conference should be sent 45 days prior to the commencement of the conference, through the Area Director to Dean Research for recommendation. The final approval would be by the President.
- f. If the paper is co-authored, then a no-objection certificate(s) from the author(s) may be attached with the application. In case of two or more applications for the same paper(s) only one application will be considered and priority will be given to the first author.

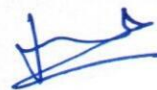
Employee attending University sponsored conference during probation period are required to give an undertaking to serve for a minimum period of two years from the date of joining (excluding notice period) or the entire expenditure will be recovered on severance of employment before completion of two years (excluding notice period) for any reason whatsoever.

8. NIIT University Conference Organization Support (NUCOS)

In order to create a research driven environment at the University, NU offers partial financial support (International conference upto Rs. 2 lakhs and National conference upto Rs. 100,000/- only) to distinct academic areas to organize conferences (national/international), symposium, workshops etc. NU also encourages all the academic areas to organize combined interdisciplinary research conferences having technological benefits to the society. This practice provides a platform for the interaction of international and national researchers with NU researchers to collaborate on the projects of common interest and to promote research activities at the University.

9. NIIT University Research Assistantship Programme (NURAP) : Research Support Policy for NU Students

The NU Research Assistantship Programme (NURAP) is designed to engage the students more deeply in the research life of the University. The Programme therefore provides opportunities to work with faculty to carry out innovative research and to vigorously pursue the applications that flow from it. The students will work very closely with and be mentored by faculty researchers to enhance their skills in areas of their interest. The aim is to develop innovative solutions to the most daunting challenges by engaging in frontline research at the frontiers of modern technology. NU will provide an assistantship of INR 5000 per month for two months to the students selected under NURap. Further the hostel



fee would be borne by NU for two months (June & July of the respective academic year). The following process will be followed:

Faculties interested to mentor students in NURAP must submit a list of projects with the prerequisites. For financial assistance, students should be categorized into two stages. In stage one, the student will be under observation for a certain period to see whether s/he is able to understand the project and have interest and ability to do it. Here student needs to show some progress in the initial development of the project including learning the prerequisites for the project. In stage two, the student will get a fellowship based on his/her monthly performance certified by the faculty mentor.

10. NIIT University Travel Assistantship Programme (NUTAP) : Conference Support Policy for NU Students

NIIT University provides financial assistance to the students at UG and PG levels for taking part in Research conferences/ symposiums/ workshops, etc. The policy details are explained in the following:

- 10.1. Full Paper acceptance is mandatory.
- 10.2. If the work has been done as part of a project under the supervision of a NU faculty member, the faculty member must be a co-author of the paper. The faculty concerned must also certify the bonafide nature of the work. If the work has been done by the students themselves without supervision by a faculty member, it still has to be verified and certified by a NU faculty member.
- 10.3. The following criteria would apply for the approval of the request for travel:
 - a. The applicant must be a full time student at NU at the time of application and at the time of the conference.
 - b. Preference will be given to students with CGPA above 7.5.
 - c. For international conferences, preference will be given to students who have not been provided assistance by NU for attending national or international conferences before.
 - d. Preference to oral presentation.
 - e. Preference will be given to students who secure partial support from other funding sources like IARCS, Microsoft Research, DST, DIT, etc. which give support to students for travel to conferences?
- 10.4. The approval would be on first cum first served basis subject to the availability of travel grants.
- 10.5. The sponsorship would cover part or whole of the registration fee, travel (economy class air fare by the shortest route for international conferences or AC III train fare for national conferences) plus local transportation, per diem allowance and visa fees (for international travel) as per existing rules duly supported by vouchers. The duration would be the duration of the conference and one day before the start and one day after the end of the conference subject to a maximum of five days.
- 10.6. Submission of conference report on return will be essential.

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10.7. ONLY one author of the paper (Faculty or student) would be sponsored by the University.

10.8. NIIT University offers maximum financial support up to Rs. 10,000/- INR for National and up to Rs. 30,000/- INR for International Conference participations for each research paper.

10.9. Procedure for Application

- a. The application along with the letter of acceptance of the paper from the organizers of conference should be sent 45 days prior to the commencement of the conference, through the Area Director to Dean Research for approval. If the paper does not have a faculty co-author, an endorsement by a faculty member needs to be enclosed.
- b. If the paper is co-authored, then a no-objection certificate(s) from the author(s) may be attached with the application. In case of two or more applications for the same paper(s) only one application will be considered, and priority will be given to the first author.

10.10. Procedure for Reimbursement

- a. Fill the reimbursement form as provided by Dean Research Office.
- b. Attach the NUtap approval of Dean Research along with the conference participation certificate.
- c. Attach all type of bills including flight tickets with the Boarding Pass (without bills and Boarding Pass your claim will not be considered).
- d. Submit the travel reimbursement form to the Dean Research Office.

11. Review of the Research Promotion Policy

The policy will be reviewed every year for ensuring that it enhances the objectives stated in this policy document.



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