

# NIIT University, Neemrana

## “Rules and Regulations for Ph.D. programme in NIIT University (2020)”.

The rules and regulations of Ph.D. programme of NIIT university is as per the UGC Regulations 2018 and reputed Indian Universities/Institutions, to ensure that the eligibility, processes, course work, assessment criteria and quality are comparable globally. These Regulations may be called as “Rules and Regulations for Ph.D. programme in NIIT University (2020)”.

### 1. Categories of Admission

Applicants admitted to the Ph. D. programme shall be classified under any one of the following categories:

- a. Residential Full-time: This category comprises of candidates pursuing the Ph. D. programme on-campus under a University Fellowship or a Project Fellowship (funded through research project grants) pursuing the Ph. D. programme on a full-time basis.
- b. Residential Part-time: This category comprises faculty/staff members of the University pursuing the Ph. D. programme on-campus on a part-time basis.
- c. Non-Residential Part-time: This category comprises of working professionals preferably sponsored by their organization and fulfilling following requirement:
  - Employed in: (i) industry or an organisation having R & D facilities (recognized by DST or DSIR), or (ii) a national laboratory, or (iii) a reputed university or college, or (iv) employed in the public sector/private sector/ government as a researcher or an analyst.

OR

  - Employed in industry or academia with relevant experience of minimum five years.

### 2. Definition of Sponsorship

Sponsorship of an organization means that the organization approves pursuit of Doctoral work by the concerned employee and offers encouragement and provides, minimum basic conditions for its pursuit or even agrees to provide work-place data in view of the value addition that the said research by the employee will bring to the organization. The research work of the sponsored candidates will be relevant to the sponsoring organization and an effort will be made to add value for the sponsoring organization. Sponsorship may cover financial support, but it is not mandatory.

### 3. Admission Calendar

  
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Applications for the Ph. D. Programme are invited throughout the Academic Year. Shortlisted applicants will be required to take an entrance examination followed by an interview. (Candidates will be exempted from the University entrance exam if they have qualified in UGC/CSIR/GATE/ Examination).

Accordance with the broad schedule indicated in the Table hereunder. The exact schedule for any year shall be announced on the web page of the University.

Process	July Session	January Session
Application Submission	Third week of June	Lat week of November
Intimation for Written Exam and/or Interview	last week of June	Second week of December
Written Exam and/or Interview	Second week of July	Last week of December
Result Declaration	Third week of July	First week of January
Enrolment	Last week of July	Second week of January
Registration & Programme Commencement	First week of August	Third week of January

#### 4. Eligibility criteria for Admission

The minimum educational qualifications for admission to the Ph. D. Programme of the University shall be as follows:

- a. Master's Degree or a Professional Degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions. The same criteria are also be applicable for Undergraduate degree. Candidates holding B.Tech. degree, are also eligible for the admission in Ph.D. programme in NIIT University provided the CGPA at the end of B.Tech. is more than 8.50.
- b. In case of candidates holding a qualifying degree from foreign universities, registration for the Ph.D. will be confirmed after determination of equivalence by the relevant University body.
- c. Candidates who have cleared the M. Phil. course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M. Phil. Degree shall be eligible to proceed to do research work leading to the Ph. D. Degree in the same Institution in an integrated programme. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC {non-creamy layer}/differently-abled and other categories of candidates as per the decision of the Commission from time to time.



#### d. International Students

Foreign nationals with degree from Indian Universities will be treated on par with Indian nationals for admission purposes. Foreign nationals with foreign degrees must meet the minimum educational requirements as given above equivalent to an Indian Master's Degree in the relevant disciplines. In addition, they should have a valid GRE/GMAT/GATE /JMET/CAT/XAT/MAT/ATMA score or a UGC/CSIR NET/JRF or an equivalent examination score in the relevant discipline and should have cleared TOEFL. International students are expected to have a working knowledge of English.

- e. A scholar who is already registered as a Ph.D. scholar (full time or part time) in any other Indian university, may be transferred to NIIT University if his/her Ph.D. supervisor joins in NIIT University. In that case, she/he will cancel the registration in earlier university and will be registered in Ph.D. programme in NIIT university. The transfer of completed course work credits will be considered if the CGPA is equal or greater than 8. Time spent by the candidate and progress of work achieved in earlier university will be considered by the doctoral committee for giving the guidelines to the scholar to work at NIIT university.

#### 5. Procedure for Admission

- a. Eligible applicants of all categories possessing the minimum educational qualifications will be required to appear in an Entrance examination conducted at the University announced through open advertisement. An applicant who is working as an Assistant Professor or higher level in a recognized Institute/University including the NIIT University would be exempted from the Entrance Examination. Candidates will be exempted from the University entrance exam if they have qualified in UGC/CSIR/GATE/ Examination).
- b. Dean Research office will be responsible for the conduct of the Entrance Examination and subsequent interview for selection of candidates for admission to their respective Areas. The entrance examination will comprise of written Test (Aptitude and subject specific). Dean Research office will request for the question paper of specific subject from the Area Director of the respective areas. The question paper for aptitude test will be same for all candidates in different areas. The Selection Committee would be chaired by Dean Research or a Nominee appointed by the Dean Research and will include the Area Director, one Professor from the Area and one expert from an allied subject area (nominated by the President from a list of experts from that area).
- c. The various steps to process the application forms are outlined hereunder:

**Step 1:** Submit the duly completed Admission Application form along with scanned copies of all the required documents as class X, XII, Graduation and Post-Graduation mark sheets. The candidates should also submit Statement of Purpose (SOP) along with the application. The candidates who have qualified UGC-NET (including JRF)/UGCCSIR NET (including JRF)/SLET/GATE/teacher fellowship holder or have passed M.Phil programme and have valid scores of any of the national exam, the relevant document should be included with other documents. All the documents should be self-attested.



The candidate may submit the application form and other documents using any of the following modes:

- i. Online at the NU website: [www.niituniversity.in](http://www.niituniversity.in)
- ii. Printed copy of the form by post to NU Central Admissions Office at NIIT House, 85, Sector 32, Institutional Area, Gurgaon – 122001.
- iii. emailed to [admissions@niituniversity.in](mailto:admissions@niituniversity.in) along with the class X, XII, Graduation, and Post-Graduation mark sheet.
- iv. Printed copy of the form submitted in person with copies of all documents to a duly authorized representative, details of which are available on the NU website.

**Step 2:** NU will send an acknowledgement of the receipt of the Admission Application Form and send intimation about the schedule for the admission process to each eligible candidate within five working days from the last date of application.

**Step 3:** The eligible applicants will be notified by the admission office about entrance examination dates and location. The entrance examination will comprise of written Test (Aptitude and subject specific). **The entrance examination will be held based on the current GATE Syllabus for Science and Engineering, current UGC NET syllabus for Management area and Humanities and Social Sciences.** The types of questions are multiple choice and/or short answer-based questions. A weightage of 70% to the entrance test and 30% to the performance in the interview/viva-voce shall be given. A candidate should score minimum of 50% in entrance test in order to be shortlisted for interview.

**Step 4:** All short-listed applicants will be called for interview. The panel would be chaired by the Dean Research or a Nominee appointed by the Dean Research and will comprise of the Area Director, one Professor from the Area, one/two experts from the area as per the interested research area of the candidate. Moreover, external experts from the subject area from reputed university/research organizations/ industry with excellent credentials. A candidate should score minimum of 50% in interview/viva-voce to be eligible for final selection. The final merit list will be prepared giving weightage of 70% to the entrance test and 30% to the performance in the interview/viva-voce.

The interview/viva voce shall also consider the following aspects, viz. whether:

- i) the candidate possesses the competence for the proposed research
- ii) the research work can be suitably undertaken at the Institution/College
- iii) the proposed area of research can contribute to new/additional knowledge.

**Step 5:** The name of the selected candidates will be given on NU web site. An Admission Offer will be sent to all selected candidates within 5 working days of completion of the admission process. Applicants may also be put on a waitlist.

**Step 6:** To confirm the admission a selected candidate shall submit an admission acceptance letter duly signed by him/her and their respective parent/guardian/spouse along with the non-refundable admission acceptance fee within 5 working days of receiving the letter of admission. They would also need to pay the applicable semester fee within 15 days of receiving the offer letter by cash or, electronic transfer or Crossed Demand Draft, in favour of NIIT University, payable at New Delhi.

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**Step 7:** Candidates who have confirmed their admission are required to present themselves at the University campus on the date prescribed in the Academic Calendar to complete the first registration formalities including verification of their original mark-sheets of the Master's degree (if applicable), the Baccalaureate degree, and the Class X and XII Examinations.

#### 6. Financial Assistance: NU Scholar Search Programme

- a. All registered full-time residential students would be provided financial assistance for maximum five years depending on the satisfactory report from the doctoral committee.
- i) Financial assistance of Rs. 30,000/- per month during the course work and till the date of approval of research proposal to the candidates who have the M.Tech. degree.
  - ii) Financial assistance of Rs. 20,000/ (per month) will be paid during the course work and till the date of approval of research proposal to the candidates who do not have the M.Tech. degree.
  - iii) Financial assistance of Rs. 35,000/- (per month) thereafter till completion of three years from the date of 1<sup>st</sup> registration.
  - iv) Financial assistance of Rs. 40,000/- (per month) for the remaining period of Ph.D. not exceeding five years from the date of 1<sup>st</sup> registration. The enhancement will be based on the performance, paper publication and recommendation of doctoral committee and approval of University level research committee.

Note: For the purpose of financial assistance, the period in which a student is registered, will be counted. If a student takes a break between two semesters, the break period will not be counted and the student will not be paid during the break period.

- b. The Residential fulltime research scholars will assist to the extent of 8 to 10 hours per week in academic activities as teaching assistant. The area director will engage the fulltime research scholars in different academic activities like
- i) Engage laboratory sessions
  - ii) Assist students in projects
  - iii) Assist in laboratory setup
  - iv) Assist in preparing quiz/assignments
  - v) Assist in invigilation duty
  - vi) Assist in Tutorial class

In lieu of the above services, such full-time residential students shall be exempt from payment of university fee.

#### Terms and Conditions

- a. The numbers of NU scholarships available under this programme are limited and are awarded on merit basis.
- b. Failure to remit the applicable fees will lead to termination of the student from the rolls of the university.

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- c. The grant of NU scholarship will be at the sole discretion of the University and no disputes will be entertained.
- d. The University may alter or discontinue the NU Scholar Search Programme at its sole discretion.
- e. The duration of the above stated financial assistance provided by NU will be maximum five years including course work. Under no circumstance will the financial assistance be provided beyond five years.

## 7. Registration

- a. Applicants whose selection is approved by the President in his capacity as the Chairman, Academic Council, will be offered admission to the Ph. D. programme and will be registered after payment of the prescribed fees.
- b. Ordinarily, a student whose registration to the Ph. D. programme has been cancelled for any reason is not eligible for re-registration. However, he/she may be considered for re-registration by the Dean Research based on the discussions with University Research Committee and merits of the individual case taking into consideration any special circumstances.

### c. Subsequent Registrations

All Ph. D. students will be required to register for Thesis and Seminar/ Teaching-Learning Practice courses each semester on the stipulated registration date till submission of the Thesis. At the end of each Semester, an individual performance report for the completed semester will be sent to the Dean Research by Research Supervisors for each Ph. D. students being supervised by them as stated in the subsequent section. The enrolment of a Ph. D. student can be cancelled for unsatisfactory progress. Under normal circumstances, 40 credits of thesis work and 10 credits of Seminar have to be completed to be eligible for submission of final thesis to Dean Research office after duly recommended by the doctoral research committee. Ph. D. students unable to complete their research within the above stated period can seek extension beyond the above duration for a maximum period of up to four semesters from the Dean Research on the recommendation of his/her Doctoral Committee. There will be no financial assistance during this period.

### d. Withdrawal from the Semester

A Ph. D. scholar may be permitted by the Dean Research to withdraw for a semester or longer for reasons of ill health or other valid grounds only on recommendation of his/her Doctoral Committee. A Ph. D. scholar will be permitted to discontinue from the programme only for a maximum continuous period of two semesters.

### e. Cancellation of Registration

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-The registration of a Ph. D. scholar who has neither registered nor sought permission for discontinuance of his/her registration or whose progress is found to be unsatisfactory by his/her Doctoral Committee is liable to be cancelled.

-The registration of a Ph. D. scholar who has not submitted his/her thesis before the expiry of the duration of extension sanctioned to him/her will be cancelled.

**f. Mandatory Campus Stay for Non-Residential students**

Non-Residential students are mandatorily required to spend two weeks on-campus every semester till the submission of their Theses.

**g. Re-Admission Process**

(i) The registration of students who fail to complete the prescribed 'Course Work' in three Semesters or fail to register in 'Course Work' / 'Thesis Research' for more than 2 Semesters shall stand cancelled. Any such student who desires to continue to pursue the doctoral programme will need to seek re-admission as a new student as per the Re-admission Process indicated hereunder:

**Step 1:** Submit the duly completed Admission Application form along with scanned copies of all the required documents as class X, XII, Graduation and Post-Graduation mark sheets. The candidates should also submit Statement of Purpose (SOP) along with the application. The candidates who have qualified UGC-NET (including JRF)/UGCCSIR NET (including JRF)/SLET/GATE/teacher fellowship holder or have passed M.Phil programme and have valid scores of any of the national exam, the relevant document should be included with other documents. All the documents should be self-attested.

The candidate may submit the application form and other documents using any of the following modes:

- i. Online at the NU website: [www.niituniversity.in](http://www.niituniversity.in)
- ii. Printed copy of the form by post to NU Central Admissions Office at NIIT House, 85, Sector 32, Institutional Area, Gurgaon – 122001.
- iii. emailed to [admissions@niituniversity.in](mailto:admissions@niituniversity.in) along with the class X, XII, Graduation, and Post-Graduation mark sheet.
- iv. Printed copy of the form submitted in person with copies of all documents to a duly authorized representative, details of which are available on the NU website.

**Step 2:** NU will send an acknowledgement of the receipt of the Admission Application Form and send intimation about the schedule for the admission process within 5 working from the last date of submission of application.

**Step 3:** All applications for re-admission will be processed by a Committee chaired by the Dean Research or his/her Nominee, members of the earlier doctoral committee and experts as required. Admission Offers will be sent to the selected candidates within 5 working days of the completion of the admission process. Applicants may also be put on a waitlist. Candidates who have not been selected will receive a regret letter.

**Step 4:** Selected candidates should submit an Admission Acceptance Letter duly signed by them and/or their parent/guardian/spouse as well as the non-refundable Admission Acceptance fee within 5 working days of receipt of the letter of admission. They would also need to pay the applicable fee within 15 days of receiving the offer letter by cash or, electronic transfer or Crossed Demand Draft, in favour of NIIT University, payable at New Delhi.

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**Step 5:** Students join the University campus (as per Academic Calendar) to complete the first registration formalities including submission of original mark-sheet of Masters, if applicable, Graduation, Class X and XII.

(ii) Complete credit will be given for course work and/or thesis research that may have been completed under the earlier registration at NU as a Ph. D. Scholar.

## 8. Leave Rules

### a. Working Hours and Attendance

- Every full-time resident research student is required to mark his/her attendance on the NU Attendance Portal.
- If the University requires the services of any full-time resident research scholar on an off day/holiday, he/she will need to make himself/herself available for duty. No extra compensation/alternate leave will be given in lieu thereof.

### b. Leave Policy

- Every full-time resident research scholar shall be entitled to avail the following types of leave:

Casual Leave	4 Days / Semester
Vacation Leave	30 Days Annually

- The sanctioning authority for grant of leave shall be the Dean Research or a Nominee appointed by him/her.
- Leave will be granted on receipt of a 'Leave Request' duly recommended by the Ph. D. Supervisor or Project Investigator and Area Director.
- The University's HR Unit shall maintain a system of recording leave and/or absence through the Leave Management System.

### c. Maternity Leave

In addition to the types of leave indicated in sub-clause (b) hereinabove, there shall be a provision of 6-Month Maternity Leave for registered female doctoral scholars.

## 9. Permission to do some work outside NU as a Non-Degree Student

- Full-time resident Ph. D. students may be sponsored by NU to do some of their doctoral research outside NU at another recognized university /institute as non-degree students.
- Such period of sponsorship shall, however, not exceed 12 months and this period shall count towards the maximum allowable time of Ph. D. registration.
- An application seeking permission for such 'sponsorship' along with a proposed work plan and a letter of acceptance from the outside university/institute shall be submitted by the concerned student



through his/her Supervisor to his/her Doctoral Committee who shall forward the same along with their recommendation to the Dean Research or his nominee for approval.

- Such sponsorship shall normally be granted without continuation of the doctoral stipend during the period of sponsorship. However, in special cases such as sponsorship under an Exchange Scheme entered by NU with an outside University/Institute continuation of the doctoral stipend may be allowed.

#### **10. Conversion of Status of Registration from Full-time Residential to Part-time Non-residential**

- a. A full-time residential Ph. D. student who gets a job offer during his/her studentship can apply for conversion of his/her status to that of a part-time non-residential Ph. D. Scholar to the Dean Research or his/her nominee, through his/her Doctoral Committee, subject to the following conditions:
  - His/her prospective employer agrees to sponsor him/her to continue in the Ph. D. programme.
  - He/she pays the semester fee by the stipulated date for each semester as prescribed in the Academic Calendar for part time Ph.D. students.
- b. Continuation of registration from semester to semester shall be dependent on the semester-end progress being satisfactory as adjudged by the concerned Doctoral Committee.
- c. The total period of full-time residential plus part-time non-residential status shall not exceed the prescribed maximum permissible duration.

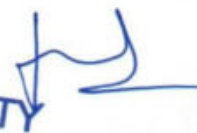
#### **11. Academic Requirements**

##### **a. Permissible Minimum and Maximum Duration**

- The minimum residence requirement shall be 3 years counted from the date of 1st registration with registration being over consecutive semesters. Exceptions to this requirement shall be made only if a student is on 'authorized leave'.
- The maximum permissible duration of registration shall be 5 years counted from the date of 1st registration. Extension for a further period of 2 years beyond the initial 6 years may be granted by the Doctoral Committee on a year to year basis subject to satisfactory performance without any financial assistance.
- The Dean Research or his nominee may permit a registered doctoral student to temporarily withdraw from the Ph. D. Programme for the duration of maximum of two semesters for reasons of ill health or other valid grounds subject to the recommendation of the student's Doctoral Committee.

##### **b. Extension**

The maximum permissible duration of registration shall be 5 years counted from the date of 1st registration. Extension for a further period of 2 years beyond the initial 5 years may be granted by the Doctoral Committee on a year to year basis subject to satisfactory performance.





**c. Extra-ordinary Extension**

The total duration is maximum seven years from the date of 1<sup>st</sup> registration in Ph.D. If the Ph.D. student is unable to submit the final thesis within this period and has been registered continuously, semester after semester, and has obtained a Satisfactory Report every semester from doctoral committee, then the student can apply for “extra-ordinary” extension for a maximum period of two years.

The following process will be required:

- Ph. D. student will be asked to submit application for “extra-ordinary” extension for completion of Ph. D. thesis work at least three months prior to maximum duration.
- Reasons for non-completion of thesis work within the maximum period of time should be explicitly mentioned in the request letter for “extra-ordinary” extension
- The application will be duly forwarded by the Supervisor.
- Request for “extra-ordinary” extension will be placed before Doctoral Committee for recommendation.
- Chairperson of Doctoral Committee will submit the recommendation of the Committee to Dean (Research) for approval.
- Dean (Research) will seek approval of the Academic Council for grant of extra-ordinary extension as per the approved policy.

**Regarding the payment of fee:**

Ph.D. students (Residential Part-time) are exempted from fee for seven years w.e.f. date of 1<sup>st</sup> registration in Ph.D. Fee exemption benefit would not be extended beyond seven years w.e.f. date of 1<sup>st</sup> registration in Ph.D. So, even if the Ph.D. student (Residential Part-time) requested for extension, and if the extension was granted, then she/he would be required to pay fee as applicable from time to time.

For other regular candidates and Non-Residential Part-time Ph.D. students, the same process for “extra-ordinary” extension is applicable. Other terms and conditions regarding payment of fees will be same as applicable for them from time to time.

**d. Requirement of Credits, Grade and Cumulative Grade Point Average**

- i. The requirement of number of credits for various components of the Ph. D. Programme shall be as indicated hereunder:

Total Credits	Credits through Course Work	Credits through Research	Credits through Seminars
62 - 74	12-24	40	10

Course work shall be prescribed by the Doctoral Committee on the advice of the Student’s Thesis Supervisor and recorded in his/her transcript. The prescribed courses shall all be postgraduate courses at level 6 or above and would normally comprise of two university courses, one or two professional core courses and one or two professional electives with courses on i) Research Methodology and ii) Research and Publication Ethics (RPE) (for the awareness of Publication ethics and publication misconduct) being compulsory courses for all students. Research Methodology will have 4 credits. Research and Publication Ethics (RPE) course will have 2 credits. Communication skill for Research will have 2 credits.



This will be an optional additional credit course for the Ph.D. student which will be taken if the Doctoral committee recommend the same.

- ii. Students admitted under the Non-Residential Part-time category will take the courses in the synchronous on-line delivery mode.
- iii. During the 'thesis research' stage, the Doctoral Committee may advise that a student takes an additional course in view of its direct relevance to his/her thesis research. In such a case, the concerned student would be permitted to register for that recommended course on an 'Audit-basis'.
- iv. Students need to complete their prescribed 'Course Work' in two semesters. Under genuine circumstances, a student can apply to his/her Doctoral Committee for grant of extension for at most one additional semester.
- v. Candidates admitted to the Ph. D. Programme who hold a M. Phil. degree and have already completed some or all the course work as a part of their M. Phil. Programme or have undertaken similar courses elsewhere as a part of an Integrated Master's - Doctoral Programme may be granted **ADVANCED STANDING** at NU and given exemption from part or all of the course work requirement by the concerned Doctoral Committee.
- vi. In each course that a student is registered, s/he shall earn certain approved credits and is awarded a letter grade indicating his/her overall performance in that course. The number of credits assigned to individual courses, the approved letter grades currently in vogue and the corresponding points (on a 10-point scale) for each letter grade are indicated in the Academic Rules and Regulations Chapter in Students Handbook.
- vii. Seminars and Thesis Research also earn credits. Student performance in Seminars and Thesis Research is graded as Satisfactory or Unsatisfactory for which there is no corresponding point on the 10-point scale.
- viii. A student who is awarded a non-passing grade in any particular course prescribed in his/her transcript must repeat it or substitute it by another course as approved by his/her Doctoral Committee on the recommendation of his/her Supervisor.
- x. The overall academic performance of a student in all courses for which he/she has registered in a given semester is measured in terms of the Semester Grade Point Average (SGPA). The SGPA is defined as the weighted average for all courses for which a student is registered in the semester and is computed as follows:

$$SGPA = (C_1G_1 + C_2G_2 + \dots) / (C_1 + C_2 + \dots)$$

where  $G_1, G_2$ , etc. are the grade points awarded associated with the grade and  $C_1, C_2$ , etc. are the corresponding credits.





In the above computation, the Satisfactory/ Unsatisfactory Grades awarded for Thesis Research and Seminars are ignored. Akin to the SGPA, a Cumulative Grade Point Average (CGPA) is also defined. CGPA indicates the cumulative academic performance in all courses taken by a student including those in the current semester.

- xi. Each registered student shall be given a grade sheet at the end of each semester listing the courses and/or thesis research/seminar credits taken by him/her during the semester and the corresponding grade attained plus the SGPA and CGPA. The totality of the grade sheets over the various semesters serve as a record of the academic performance of the student under the Ph. D. Programme.
- xii. if the same research topic is being pursued. However, there may be a change of Thesis topic for any reason, such as earlier Supervisor no longer available, or the student's area of interest has shifted, or any other valid reason. In such case, the Doctoral Committee will recommend regarding the additional course work to be taken and the Thesis topic (if any).
- xiii. A student is deemed to have satisfactorily completed his/her 'course work' requirement, if he/she clears all the prescribed courses as per his/her transcript with a passing grade with none of the courses having a stigma of Not-Clearing or Withdrawal or Incomplete or any other report and his/her Cumulative Grade Point Average is at least 6.0.

**e. Minimum Requirement for Continuation of Registration**

- S/he should have attained a CGPA of 6.0 or above. A student whose CGPA falls below 6.0 at the end of any given semester will be placed on probation for the next semester and would thereafter be allowed to continue his/her doctoral registration beyond the next semester only if he/she is able to bring up his/her CGPA to 6.0 or above by the end of the semester on which s/he is on probation. (may change based on the last paragraph of last section)
- His/her performance in 'Thesis Research' is satisfactory. If it is unsatisfactory in any given semester he/she shall be placed on probation for the next semester and would be allowed to continue his/her doctoral registration beyond the next semester only if the qualitative grade for Thesis Research is brought up to a satisfactory level by the end of the semester on which s/he is on probation.
- A warning will be issued to all students placed on probation indicating the conditions on which they will be permitted to continue their registration beyond the semester they are on *probation*.
- A student who fails to meet either or both of the above stated minimum academic requirements would be required to discontinue from the doctoral programme.

**f. Graduation Eligibility**

A student would be eligible for the award of the Ph. D. degree, if he/she meets all the following requirements:

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- He/she has cleared the course work requirement as prescribed in his/her transcript and satisfies the minimum *CGPA* criteria of 6.0.
- He/she has no case of *unfair means* or *indiscipline* pending against his/her name
- He/she has cleared the total credit requirements for Thesis Research and Seminars with a Satisfactory grade
- He/she has satisfactorily delivered the '*State of Art Seminar*'
- He/she has satisfactorily delivered the '*Pre-submission Seminar*'
- Ph.D. scholars must have publications before or at the time of the viva voce for adjudication and produce evidence for the same in the form of presentation certificates and/or reprints.
- Ph.D. students who will work in Science, Engineering and Technology they should have either i) 2 publications in SCI journal or ii) 1 publication in SCI journal and **tier 1 or tier 2 conference paper\*** or iii) 1 publication in SCI journal and 1 product accepted by Industry or iv) publication in 1 SCI(Q1) and one patent filed based on thesis work.
- Ph.D. students who will work in Management area should have either i) 2 publication in B or higher (ABDC journal) or ii) 1 publication in B or higher (ABDC journal) and one **case study published in reputed journal\*\*** or iii) 1 publication in A (ABDC journal) and patent filed based on thesis work.

\* The list of tier 1 and tier 2 conferences will be collected from the area directors every year in January.

\*\* The list of reputed journals for case study will be collected from Area Director, Management, every year in January.

## 12. Candidacy for the Ph. D. Degree

A student enrolled for the Ph. D. Programme is formally admitted to the candidacy of the Ph. D. degree after he/she has completed the course work requirement as prescribed in his/her transcript and has attained a *CGPA* of 6.0 or above.

## 13. Thesis Research Proposal

As provided in clause 12 (b) (i) each doctoral student is required to earn a minimum of 40 credits for the Thesis Research component of the Ph. D. Programme. The normal expectation is that a Scholar will register for 10 Thesis Research credits every semester. However, before a student is permitted to register for Thesis Research credits it would be necessary for each Scholar to finalize the topic of his/her Thesis Research. In order to do so he/she shall follow the following steps:

**Step 1:** In the last semester of 'course work' registration, each doctoral student will prepare, in discussion with his/her Supervisor, a research proposal outlining the proposed Thesis Research s/he shall undertake.

**Step 2:** The Thesis Research proposal shall thereafter be submitted to the Office of the Dean of Research wherein it will be scrutinized with respect to its format and necessary feedback provided to the concerned Scholar for carrying out modifications in the format (if needed). After revising the proposal in light of the suggested modifications the proposal would be re-submitted to the Office of the Dean Research.



**Step 3:** After acceptance of the original/revised Thesis Research Proposal, the student would be required to make an oral presentation of the proposal to his/her Doctoral Committee on a day scheduled by the Chairperson of the concerned Doctoral Committee.

**Step 4:** After the presentation and defence of the Thesis Research Proposal by the student the Chairperson of the Doctoral Committee will share comments/remarks of the Doctoral Committee with the student. If any modification is suggested, a revised Research Proposal would need to be submitted. In case major changes have been suggested, the student would need to present the revised proposal before the Doctoral Committee.

**Step 5:** After receiving a positive feedback from the Doctoral Committee to continue the research, an Acceptance Letter will be issued to the student by the Chairperson of the Doctoral Committee.

**Step 6:** Thereafter, the student will be permitted to register for Thesis Research units. The Scholar is expected to submit a Semester Activity Plan at the beginning of each semester and a Semester Progress Report at the end of each semester during the Thesis Research stage of the Ph. D. Programme.

#### 14. Appointment of Thesis Supervisor(s)

##### a. Qualitative Criteria

- i. A Ph. D. student shall have one Supervisor and no more than one co-supervisor at any given time who shall satisfy the qualitative criteria indicated hereunder and shall be appointed as per modalities indicated below.
- ii. The Thesis Supervisor(s) shall be appointed from amongst members of the faculty of NIIT University who have a Ph. D. degree.
- iii. Any regular Professor of NIIT University with at least five research publications in refereed journals and any regular Associate/Assistant Professor of NIIT university with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor.
- iv. Faculty members with industrial experience who do not have a Ph. D. degree may also be appointed as Thesis Co-Supervisors provided they have been engaged in research for a minimum of five years. Likewise, former industry professionals who are appointed as Emeritus Scientists or Chair Professors at NIIT University and have at least 2 years of service left can be appointed as co-supervisors along with a regular faculty member.
- v. A professional, who is not a regular faculty member of NIIT University, having a Ph. D. degree followed by a minimum of 5 years of post Ph. D. research experience or a professional with industrial experience but without a Ph. D. degree who has engaged in research for a minimum of five years or has evidence of leading an established research team or has experience in R&D / product design and development may be appointed to serve as a co-supervisor along with a regular faculty member in the case of sponsored non-resident candidates. Adjunct faculty of NIIT





University may also be appointed to serve as a co-supervisor along with a regular faculty member.

- vi. Only a full time regular faculty of NIIT University can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed as mentioned in no iv and v above in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the University Research Committee.
- vii. The thesis supervisor must fulfil the following qualitative criteria:
  - He/she should not have less than or equal to 2 years of service left from the end of the academic session in the month of July of the year he/she superannuates.
  - He/she should himself/herself not be registered for the Ph. D. Degree at NIIT University or at any other Institution. Furthermore, those without Ph. D. appointed as supervisors would cease to be supervisors or co-supervisors if at any stage they themselves register for the Ph. D. degree.

**b. Allocation of Supervisor/s**

- i. The Area Research Committee shall approve the appointment of Supervisors/s based on their resume after ensuring that the minimum prescribed norms are satisfied. Moreover, the area of research interest of the candidate should be same as the area of research interest of the designated Supervisor.
  - ii. At the time of Ph. D. scholar selection in each Area, the candidates appearing for interview would be provided details of the research areas that individual faculty members are involved with and they would be provided an opportunity to discuss the research areas with the respective faculty members and thereafter, indicate their choice of supervisor in order of preference.
  - iii. Area Director and Dean Research will go through the preference list and discuss with the concerned faculty.
- iv. All sponsored research scholars may have Co-Supervisor from the sponsoring organization where he/she is employed and one Supervisor from the NIIT University. In case of topics which are of inter-disciplinary/multidisciplinary nature where the Area Research Committee feels that the expertise in the area has to be supplemented from outside the area, the committee may appoint a Research Supervisor from the area itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the area. If required co-supervisor may be appointed from other organization based on terms and conditions as may be specified and agreed upon by NIIT University. In this case, the final approval will be taken from University Research Committee.
- v. A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up



to a maximum six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

- vi. A change of Supervisor/ Co-supervisor shall be permitted under exceptional circumstances on the recommendation of the Doctoral Committee after obtaining the consent of: (i) the concerned student; (ii) the current Supervisor/Co-supervisor; and (iii) the proposed Supervisor/ Co-supervisor. The entire research programme of the student would be re-examined by his/her Doctoral Committee and if warranted it would be modified. Under such a circumstance, the registration date may be revised if found necessary.
- vii. If a student's Supervisor leaves NIIT University service permanently or temporarily for a period exceeding one year, the concerned Area Research Committee shall appoint the new supervisor in consultation with the student. The Dean (Research) will communicate the original supervisor to continue as Co-Supervisor.
- viii. Whenever a Supervisor is away from NIIT University temporarily for a period of less than one year, the concerned Area Research Committee shall allot a co-supervisor (research interest should be same as Research Scholar) of the concerned student if there is no co-supervisor. On the return of the original supervisor to the University the Doctoral Committee may consider continuation of the original Supervisor as the Supervisor of his/her students depending on the period for which he/she has supervised their Ph. D. Programmes.
- ix. No change in Supervisor/ Co-supervisor shall be permissible after a thesis has been submitted. In case both Supervisor and Co-supervisor are not available due to any reason and the Ph.D. Thesis has been submitted, the Dean Research on recommendations of the Area Director will appoint an Administrative Supervisor (any faculty member from the Area or Area Director or Dean Academics) to take care of the process of evaluation of the thesis. Associate Dean (Research) will be the Administrative Supervisor if no such arrangements could be done. No credit will be given for the supervision of the thesis work to Administrative Supervisor.
- x. All appointments or change of supervisor / Co-supervisor shall be communicated by the Chairperson of the Area Research Committee to the Dean Research and to the Academic Section (for record keeping).

#### 15. State-of-the-Art Seminar

Every Ph. D. student admitted to the candidacy for the Ph. D. degree is required to give a general seminar to the faculty and students of his/her Area of Study covering the State-of-the-Art of the student's area of research. This seminar must be given before the submission of the synopsis. A report of satisfactory completion of this requirement shall be communicated by the Supervisor through the Chairperson of the Doctoral Committee to the Dean Research and the Academic Section (for record-keeping).

#### 16. Regulatory and Evaluation Committees

The following set of Regulatory and Evaluation Committees/ Boards shall be constituted:



- University Research Committee (details as indicated in section 17)
- Area Research Committee (details as indicated in section 18)
- Student Doctoral Committee (details as indicated in section 19)
- Ph. D. Thesis Board (details as indicated in section 20)
- Ph. D. Viva Voce Board (details as indicated in section 21).

## 17. University Research Committee (URC)

a. The University Research Committee comprising of:

Dean, Research, ex-officio	Chairman
All Area Directors	Member
Supervisor (if required)	Invitee
One Professor (from each research area)	Member
Registrar	Member Secretary

b. The tenure of each of the non-ex-officio members shall be two years from the date of their nomination.

c. The terms of reference of the committee shall be

- To promote research in the university.
- To approve proposals for Ph. D. programme submitted by candidates incorporating the Supervisor, Area of Research and the Title of Thesis.
- To approve extension the time duration for the submission of thesis on request.
- To approve the change in research, title of thesis, Supervisor etc. of the Ph.D. Student.
- To approve the proposal of the research projects received from Faculty members and provide funds up to a limit of Rs 2.00 L and periodically review the progress of the projects.
- Any other as assigned by Academic Council.

## 18. Area Research Committee (ARC)

a. Each Area of Study shall have an Area Research Committee comprising of:

- The Area Director who shall be the ex-officio Chairperson of the Board
- Three members of the Faculty of the Area with one each being at the level of Professor, Associate Professor and Assistant Professor nominated by the Area Director.
- Any faculty member who is pursuing Ph.D. in NIIT or any other university/organization, will not be the member of Area Research Committee.

b. The tenure of each of the non-ex-officio members shall be two years from the date of their nomination.

c. The terms of reference of the ARC shall be:

  
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- to liaise with Dean Research for the promotion of a culture for research amongst the students and faculty of the Area.
- to serve as the Selection Committee for Ph. D. Scholars for the Area.
- to lay down modalities for the assignment of Research Supervisor(s) for Ph. D. Scholars.
- to assign Research Supervisor and Co-supervisors for the Ph. D. Scholars of the Area
- to monitor the functioning of the Doctoral Committees of the registered Ph. D. Scholars of the Area.

#### 19. Student Doctoral Committee (SDC)

- Soon after new Ph. D. Scholars joins, say within 2 weeks of the date of joining, the concerned Area Director shall intimate to the Dean Research, the area of research of each of the new scholar, the proposed name(s) of their respective Research Supervisor and Co-supervisors and a panel of names of the Area Faculty nominees for the Doctoral Committee for each new scholar indicating the areas of specialization of the recommended faculty members.
- Based on the aforesaid information, the Dean Research shall constitute, say within 4 weeks, a Doctoral Committee for each new Ph. D. Scholar comprising of the following members:

<b>Area Director</b> (If the Area Director is the Research Supervisor of the Scholar, he/she shall be replaced by the senior most Professor of the Area as a member of the Doctoral Committee of the concerned Scholar)	<b>Chairperson</b>
Supervisor	Member
Co- Supervisor (if any)	Member
Two Area Faculty (nominated by the Dean Research on the recommendation of the Area Director)	Member
One faculty from another area	Member

- In case any member of a Doctoral Committee proceeds on leave exceeding one year, or resigns or retires from service of NIIT University, another member in lieu thereof will be nominated as per the same procedure as the incumbent member.
- The faculty member who is pursuing Ph.D. in NIIT or any other university/organization, will not be the member of Area Research Committee.

#### 20. Ph. D. Thesis Board

- The Ph. D. Thesis Board shall consist of two members external to the University in addition to the Thesis Supervisor and Co-Supervisor (if any).
- The procedure for constituting the Ph. D. Thesis Board shall be as follows:
  - The Doctoral Committee shall propose a panel of at least six examiners from outside the university from both within and outside the country. This list along with adequate number of copies of the Synopsis prepared in accordance with the prescribed format shall be forwarded by the Doctoral Committee to the Dean Research at least 4 weeks before the submission of the thesis.

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- ii. The Chairperson, Academic Council in consultation with the Dean Research will select the two members of the Ph. D. Thesis Board from the list of names proposed by the Doctoral Committee. If considered necessary, the Chairperson, Academic Council may require additional names of experts to be submitted. In case the Dean Research is the Supervisor of the concerned Scholar, the Chairperson shall consult the Dean Academic Affairs.
- iii. One of the examiners will be from Indian organization (Not from NIIT University) and another examiner will be from a foreign organization. Both the examiners should be in the same research area on which student has submitted the thesis.
- iv. The names of members of the Ph. D. Thesis Board shall be kept confidential till successful completion of the Viva Voce Examination. However, on completion of the thesis evaluation the name the external member from within the country shall be sent by the Dean Research to the Supervisor for inclusion of his/her name on the Ph. D. Viva Voce Board.

#### 20.1. Pre-Submission Seminar

- a. Before proceeding to finalize the thesis, each Ph. D. Scholar must deliver an open seminar to faculty and students in which the research work will be presented to obtain comments and criticism which may be incorporated in his/her thesis. Notice of the seminar must be displayed at least four days in advance.
- b. A thesis can only be submitted after the Pre-Submission seminar has been delivered. The Thesis Supervisor shall intimate, through the Chairperson of the Doctoral Committee, the satisfactory fulfilment of this requirement to the Dean Research and the Academic Section for record.

#### 20.2. Synopsis

- a. Every Ph. D. Scholar shall submit the title and synopsis of his/her thesis to his/her Doctoral Committee through Supervisor and Co-supervisor (if any) subject to satisfactory completion of the prescribed credit requirement for Courses, Thesis Research and Seminar/ Teaching-Learning Practice. However, prior to doing so the Ph. D. Scholar should have delivered the Pre-Submission Seminar.
- b. The Ph. D. Scholar shall present the synopsis before the Doctoral Committee. The Doctoral Committee will, if it approves the work reported in the synopsis, permit the Ph. D. Scholar to submit the thesis.

#### 20.3. Submission of Ph. D. Thesis

The Ph. D. Scholar shall submit requisite number of copies of his/her Ph. D. thesis prepared in accordance with the approved format within two months of acceptance of the Title and Synopsis by his/her Doctoral Committee provided the Pre-submission Seminar has been delivered and the Board of Thesis Examiners has been duly approved. The Doctoral Committee may grant additional time beyond two months on request from the Ph. D. Scholar.

The important points for three categories of Ph D students is given in Registrar below:



	Residential Full-time	Residential Part-time (applicable only for NU Employee)	Non-Residential Part-time
Eligibility	Item no. 4	Item no. 4	Item no. 4
Entrance Examination	Item no. 5, steps 3 & 4	Item no. 5, steps 3 & 4	Item no. 5, steps 3 & 4
Acceptance Fee	YES	YES	YES
Security Deposit	YES	YES	YES
University Fees	No (in lieu of academic activities as TA for 8-10 hrs. per week)	NO	YES
Hostel Fees	YES	YES	NO
Mess & Laundry Charges	YES	YES	NO
Financial Assistance	YES	NO	NO
Graduation Eligibility	Item no. 11f	Item no. 11f	Item no. 11f
Duration	Minimum duration of three years, including course work and a maximum of five years.	Minimum duration of three years, including course work and a maximum of five years.	Minimum duration of three years, including course work and a maximum of five years.
Extended period	Item no. 11b	Item no. 11b	Item no. 11b
Extra-Ordinary extension	Item no. 11c	Item no. 11c	Item no. 11c

Table – 1: COMPARATIVE FEATURES OF THREE CATEGORIES OF PH.D. STUDENTS

#### 20.4. Ph. D. Thesis Evaluation

- a. After the Synopsis has been approved and the Thesis Board constituted, the Dean Research will send a letter to each member of the Thesis Board enclosing a copy of the Synopsis and requesting him/her to serve as an examiner for the thesis. If either of the examiners refuse or no response is received for eight weeks (with a reminder being sent after four weeks), then steps will be taken to appoint another examiner from the panel of names recommended by the Doctoral Committee.
- b. On receipt of acceptance of examinership a copy of the thesis along with a thesis evaluation form for the examiners' report and plagiarism report will be sent to each examiner.
- c. In case the Dean Research is the Supervisor of the Scholar concerned the above processing at paragraph a and b will be done by the Dean Academic Affairs.
- d. The examiners are expected to send their report on the thesis within three months from the date of receipt of the thesis. In case of undue delay in receiving the thesis report, the Chairman, Academic Council shall appoint another examiner in his/her place for evaluating the thesis.
- e. On receipt of the thesis examiners reports the Dean Research will classify each of the reports in one of the following three categories:



- **Category 1:** Wherein an examiner suggests corrections regarding grammar, punctuation, spelling or language.
  - **Category 2:** Wherein an examiner points out minor technical mistakes or raises some queries or suggests modifications but does not imply that the acceptance of the thesis is subject to the removal of the said defects to the satisfaction of the examiner or requiring reference back to the examiner.
  - **Category 3:** Wherein an examiner outright rejects the thesis or raises technical points or suggests modifications which must be answered/carried out to the examiner's satisfaction and thus requires reference back to the Thesis Examiner.
- f. Copies of the categorization report (without identity of the examiner) will be sent to the Supervisor and Co-supervisor (if any) and the Chairperson of the concerned Scholar's Doctoral Committee.
- g. In the case of those theses wherein the report(s) are categorized under Category 1, the Supervisor and Co-supervisor (if any) can use their discretion regarding incorporation of the suggested corrections. Also, necessary action will be initiated to constitute the Viva Voce as per provision given below.
- h. In the case of those theses wherein the report(s) are categorized under Category 2, the Supervisor will: (i) communicate the Scholar's response to the technical mistakes / queries / suggested modifications to the Dean Research; (ii) ensure incorporation of the changes in the thesis to the satisfaction of the Viva Voce Board; and (iii) inform the concerned examiner(s) of the changes made on the basis of his/her suggestions. Also, necessary action will be initiated to constitute the Viva Voce as per provision given below.
- i. In the case of those theses wherein the report(s) are categorized under Category 3 any of the following actions as may be appropriate shall be initiated:
- i. If one or both the external examiners suggest revision of the thesis and its resubmission after revision, the concerned Scholar will be allowed to resubmit the thesis within the time stipulated by the Doctoral Committee which will normally be one semester, failing which the revised thesis will not be accepted, and his/her registration will be cancelled. The semester so permitted shall be considered as an extension of term and full fees shall be payable for it.
  - ii. If one of the external examiners outright rejects the thesis whilst the second external examiner considers that the thesis is satisfactory, it shall be referred for evaluation to a third external examiner appointed by the Chairman, Academic Council in consultation with the Dean Research from the panel recommended by the Doctoral Committee.
  - iii. If the Thesis is rejected by one external examiner whilst the second external examiner suggests a need for revision, it shall be sent back to the Scholar through the Supervisor for carrying out the proposed revision. The student shall be required to submit the revised thesis within a semester. Thereafter, the thesis shall be sent back to the examiner who suggested the revision and if the examiner accepts the revised thesis then it shall be sent for evaluation to a third



external examiner appointed by the Chairman, Academic Council in consultation with the Dean Research from the panel recommended by the Doctoral Committee.

- iv. If two external examiners after referral to a third examiner report the thesis as “not recommended or rejected”, the registration of the Scholar shall stand cancelled.
- v. If reports of two of the external examiners after referral to the third external examiner indicate that the thesis is satisfactory, necessary action will be initiated to constitute the Viva Voce as per provision given below.
- vi. The case of theses with report(s) covered under Category 3 that are not covered under any of the permutations listed under (i) – (v) hereinabove will be referred to the Doctoral Committee for recommending appropriate action.

## 21. Ph. D. Viva Voce Board

- a. The Ph. D. Viva Voce Board shall consist of four members in addition to the Supervisor and Co-supervisor (if any) as indicated hereunder:

Dean Research	Chairperson
Area Director (If the Area Director is the Research Supervisor of the concerned Scholar, he/she shall be replaced by the senior most Professor of the Area as a member of the Viva Voce Committee of the concerned Scholar)	Member
External Thesis Examiner (the External Examiner on the Thesis Board from within the country.	Member
Supervisor	Member
Co-supervisor (if any)	Member
Area Faculty (nominated by the Chairperson Academic Council in consultation with the Dean Research)	Member

- b. On completion of the process detailed above, the Dean Research shall seek the concurrence of the Chairperson, Academic Council to initiate the constitution of the Viva Voce Board which will be completed within 2 weeks.

## 22. Ph. D. Viva Voce Examination

- a. The Ph. D. viva voce shall be an open examination. The Supervisor will be the Convenor of the Viva Voce Board and fix the date of the viva voce in consultation with the Chairperson of the Viva Voce Board. The Supervisor shall take the concurrence of the external examiner to the proposed date to ensure his/her presence at the viva voce examination.
- b. After the date has been fixed the Supervisor shall intimate the same to the Office of the Dean Research and the Academic Section and announce the same on the University Notice Board.

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- c. Each member of the Viva Voce Board shall be given a copy of the thesis along with the entire technical correspondence with the thesis examiners at least one week before the date of the viva voce examination.
- d. The role of the Viva Voce Committee shall be:
- examination of the thesis reports
  - examination of the required modifications
  - elicit replies to questions raised by the thesis examiners
  - authenticate that the thesis is the work of the candidate
  - judge if presentation of the work and answers to questions are satisfactory
  - evaluation of overall performance of the candidate.
- e. The Viva Voce Board will examine the Ph. D. Scholar in an open defence on his/her thesis research and evaluate his/her performance as Satisfactory or Unsatisfactory.
- f. If the report of the Viva Voce Board declares the performance of the Ph. D. Scholar unsatisfactory, he/she may be asked to reappear for the viva voce later (not earlier than a month and not later than three months from the date of the first viva voce).
- g. If the Viva Voce Board on the second occasion also evaluates the performance of the Ph. D. Scholar unsatisfactory, the matter will be referred to Academic Council for a decision.
- h. The Viva Voce Board may also recommend revision to be made in the final version of the thesis after taking into consideration suggestions of the thesis examiners and the discussion at the viva voce. The Ph. D. Scholar will be given a month's time for making the revision. The Chairperson of the Viva Voce Board shall forward the thesis to the Academic Section certifying that the revisions recommended by the Viva Voce Board, if any, have been incorporated in the copy of the thesis along with the report of the Viva Voce Board.
- i. The Viva Voce Board will submit a consolidated joint report based on the written reports of the examiners and performance at the viva voce with a recommendation on the final report "Satisfactory" or "Unsatisfactory" to the Academic Section.
- j. The Ph. D. Scholar shall submit five copies of the final thesis in A4 size and an electronic version in PDF format after the Viva Voce Board recommends the award of the Ph. D. degree.

### 23. Award of Ph. D. Degree

If the performance of the Ph. D. Scholar in the viva voce is satisfactory, he/she will be awarded Ph. D. degree on the recommendation of the Academic Council and with the approval of the Board of Management of the University.

### 24. Preservation of the Thesis

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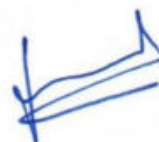




A soft copy of the Thesis will be sent to the Library and one will be with the Supervisor. In addition, a soft copy will be submitted to the UGC within a period of 30 days for hosting the same in INFLIBNET, accessible to all institutions/ universities.

## 25. Issue of Provisional Certificate

Along with the degree, the University shall issue a Provisional Certificate certifying that the Ph. D. degree has been awarded in accordance with the provisions of the 'Minimum Standards and Procedure for Award of the M. Phil./Ph. D. Degrees' Regulation, 2016 of the University Grants Commission {issued in supersession of the earlier 2009 UGC Regulation (Minimum Standards and Procedure for Award of M. Phil./Ph. D. Degrees Regulation, 2009) as notified in The Gazette of India [No. 28, Part III- Section 4] for the week July 11-July 17, 2009} and its subsequent amendments. The 2<sup>nd</sup> amendment of Minimum Standards and Procedure for Award of M.Phil /Ph.D. Degrees, University Grants Commission Regulations, 2018 has also taken into account.



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